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3738(B)

## TRANSMITTAL FORM

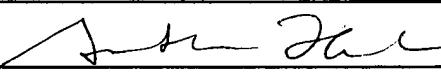
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Total Number of Pages in This Submission

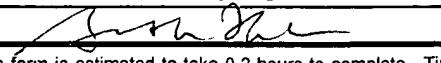
16

Application	09/747,817
Filing Date	12/22/2000
First Named	Rommel C. Lumaug
Group Art Unit	3738
Examiner Name	B. Pellegrino
Attorney Docket Number	ACSC 60133 (2109P)

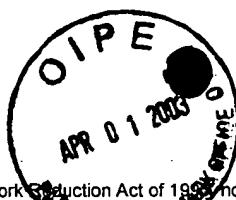
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Copy: original Filing Receipt <input type="checkbox"/> Copy: corrected Filing Receipt <input type="checkbox"/> Copy: Recordation Form Cover <input type="checkbox"/> Sheet <input type="checkbox"/> Postcard
Remarks		 <b>24201</b> PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP Gunther O. Hanke, Esq., Reg. No. 32,989
Signature	
Date	March 27, 2003

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <b>3/27/2003</b>			
Typed or printed name	Gunther O. Hanke, Esq., Reg. No. 32,989		
Signature		Date	March 27, 2003

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ **\$180.00**)
**Complete if Known**

Application Number	09/747,817
Filing Date	12/22/2000
First Named Inventor	Rommel C. Lumaug
Examiner Name	B. Pellegrino
Group Art Unit	3738
Attorney Docket No.	ACSC 60133 (2109P)

**METHOD OF PAYMENT (check all that apply)**
 Check  Credit card  Money Order  Other  None

 Deposit Account:

 Deposit Account Number **06-2425**

 Deposit Account Name **FULWIDER PATTON**

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments

 Charge any additional fee(s) during the pendency of this application

 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

**2. EXTRA CLAIM FEES FOR UTILITY AND**

Extra Claims		Fee from below	Fee Paid
Total Claims	10	-20** = 0	X 18.00 = 0.00
Independent Claims	1	- 3** = 0	X 84.00 = 0.00
Multiple Dependent			= 0.00
<b>Large Entity</b>	<b>Small Entity</b>		

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee Description
1202	18	2202	9 Claims in excess of 20
1201	84	2201	42 Independent claims in excess of 3
1203	280	2203	140 Multiple dependent claim, if not paid
1204	84	2204	42 ** Reissue independent claims over original patent
1205	18	2205	9 ** Reissue claims in excess of 20 and over original patent
			<b>SUBTOTAL (2) (\$ 0.00)</b>

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**(\$ **\$180.00**)

\*or number previously paid, if greater; For Reissues, see above

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Gunther O. Hanke	Registration No. (Attorney/Agent)	32,989	Telephone	(310) 824-5555
Signature			Date	March 27	, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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*Gunther O. Hanke*  
 Gunther O. Hanke, Reg. No. 32,989

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 4/10/03  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

for the application of  
 Inventor: Rommel C. Lumauig  
 Serial No. 09/747,817  
 Filed: December 22, 2000  
 For: **CATHETER AND METHOD  
 FOR MAKING THE SAME**

Examiner: B. Pellegrino  
 Group Art Unit: 3738  
 Client ID/Matter No. ACSC 60133  
 (2109P)  
 March 27, 2003

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

Applicant respectfully requests correction of the Filing Receipt for the above-identified patent application. The Filing Receipt reflects an error in the name of the Assignee which incorrectly reads CARDIOVASCULAR SYSTEMS, INC. Please correct the listing of the Assignee's name on the Filing Receipt to read, --ADVANCED CARDIOVASCULAR SYSTEMS, INC.-- A copy of the original Filing Receipt, corrected Filing Receipt, and Notice of Recordation of Assignment Cover sheet are enclosed.

Respectfully submitted,

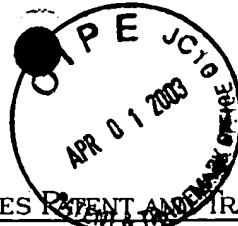
FULWIDER PATTON LEE & UTECHT, LLP

By:

*Gunther O. Hanke*  
 Gunther O. Hanke  
 Registration No. 32,989

GOH:PHM:psm

Howard Hughes Center  
6060 Center Drive, Tenth Floor  
Los Angeles, CA 90045  
Telephone: (310) 824-5555  
Facsimile: (310) 824-9696  
Customer No. 24201



D. Irons  
Page 1 of 4

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/747,817 ✓	12/22/2000 ✓	3731 ✓	746	25141-4870 ✓	4	22 ✓	2 ✓

CONFIRMATION NO. 4301 ✓

25213  
HELLER EHRLICH WHITE & MCAULIFFE LLP  
275 MIDDLEFIELD ROAD  
MENLO PARK, CA 94025-3506

FILING RECEIPT

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\*OC000000005925383\*

APR - 5 2001

U.S. PATENT & TRADEMARK OFFICE

Date Mailed: 04/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rommel C. Lumauig, San Jose, CA;

Assignment For Published Patent Application

/Cardiovascular Systems, Inc.;  
-- Advanced Cardiovascular Systems, Inc. --  
Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/30/2001

Projected Publication Date: 06/27/2002

Non-Publication Request: No

Early Publication Request: No

Title

✓ Catheter and method for making the same

Preliminary Class

606

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Data entry by : TADESSE, ETAGEAN

Team : OIPE

Date: 04/02/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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